



S/N 10/656,483

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

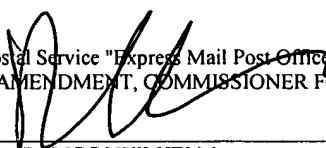
Applicant: SHIMAMURA et al. Examiner: Unknown  
Serial No.: 10/656,483 Group Art Unit: 1745  
Filed: September 5, 2003 Docket No.: 10873.1283US01  
Title: NEGATIVE ELECTRODE MATERIAL FOR NON-AQUEOUS  
ELECTROLYTE SECONDARY BATTERY, METHOD FOR  
PRODUCING THE SAME AND NON-AQUEOUS ELECTROLYTE  
SECONDARY BATTERY

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 775296317 US

Date of Deposit: DECEMBER 20, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MS AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

By:   
Name: RALYNN WILHELM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

MS Amendment

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement. And furthermore, that this communication was not received by any individual

designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each non-U.S. document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

WO 01/67528 corresponds to US 2003/096168.

WO 01/78167 corresponds to EP 1 274 140.

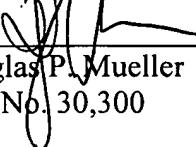
WO 02/07239 corresponds to EP 1 302 994.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902  
Minneapolis, MN 55402-0902  
(612) 455-3800

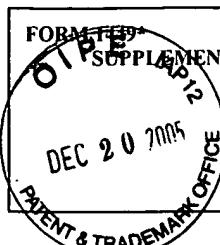
By:   
Douglas P. Mueller  
Reg. No. 30,300

Dated: December 20, 2005

DPM:nel

53148

PATENT TRADEMARK OFFICE

 <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number:	Application Number:
	10873.1283US01	10/656,483
	Applicant: SHIMAMURA et al.	
	Filing Date: September 5, 2003	Group Art Unit: 1745

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003/0096168 A1	5.22.2003	KASAMATSU et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
	01/67528 A1	13.09.2001	WIPO			SEE IDS
	01/78167 A1	18.10.2001	WIPO			SEE IDS
	1 274 140 A1	08.01.2001	EUROPE			
	1 028 476 A1	16.08.2000	EUROPE			
	1 109 239 A1	20.06.2001	EUROPE			
	02/07239 A1	24.01.2002	WIPO			SEE IDS
	1 302 994 A1	16.04.2003	EUROPE			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
		Wachtler M et al.: "Tin and tin-based intermetallics as new anode materials for lithium-ion cells" Journal of Power Sources, Elsevier, Amsterdam, NL, vol. 94, no. 2, 1 March 2001 (2001-03-01), pages 189-193.				



EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	